

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
FOX ET AL.

Serial No. 09/500,108

Filing Date: February 8, 2000

Confirmation No. 2137

For: **SYSTEM AND METHOD FOR  
ASSESSING THE SECURITY  
POSTURE OF A NETWORK**

Examiner: K. Abrishamkar

Art Unit: 2131

**CITATION UNDER 37 CFR §1.97(c).**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a form PTO-1449 listing several references for consideration in the examination of the above-identified application. These prior art references were located by the Examiner in the copending and related application serial no. 09/500,269, filed February 8, 2000, its title listed on page 31 of the detailed description of the present application. A copy of each reference is also enclosed. It is requested that these references be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR §1.97 and Section 609 of the MPEP.

In Re Patent Application of:

FOX ET AL.

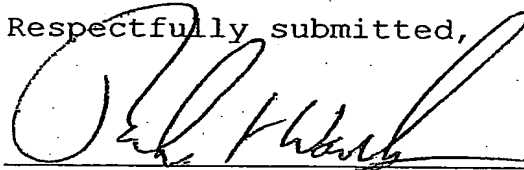
Serial No. 09/500,108

Filing Date: 02/08/00

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This submission is made in accordance with the provisions of 37 CFR \$1.97(c). Authorization is hereby given to charge the \$180.00 processing fee to Harris Corporation Deposit Account No. 08-0870. If any additional fee is required, please charge Deposit Account No. 08-0870.

Respectfully submitted,



RICHARD K. WARTHER

Reg. No. 32,180

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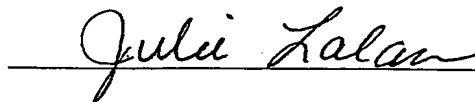
Post Office Box 3791

Orlando, Florida 32802

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: **MAIL STOP AMENDMENT,**  
**COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA**  
22313-1450, on this 26<sup>th</sup> day of July, 2004.





**FORM PTO-1449**  
**LIST OF PATENTS AND**  
**APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

**ATTORNEY DOCKET NO.:** GCSD-1054 (51045)

**SERIAL NO.:** 09/500,108

**FILING DATE:** February 8, 2000

**APPLICANT:** FOX ET AL.

**EXAMINER:** K. Abrishamkar

**ART UNIT:** 2131

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING IF APPROPRIATE
	AA	6,298,445	10/2/01	Shostack et al.	713	201	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION Yes - No
	AM						
	AN						

**OTHER ART**

(Including Author, Title, Date, Pertinent Pages, etc.)

	AO		
	AP		

**EXAMINER:**

**DATE CONSIDERED:**

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; \* Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SERIAL/PATENT NO. 09/500,108 FILED/ISSUED 2-8-00  
APPLICANT Fox et al.  
KINDLY ACKNOWLEDGE RECEIPT OF ACCOMPANYING PAPERS WITH YOUR MAIL ROOM STAMP.

☒ \$ \_\_\_\_\_ check  
☒ Authorization - Deposit Account No. 08-0870  
☐ Utility application ☐ Provisional application  
☐ \_\_\_\_\_ pages, \_\_\_\_\_ claims, \_\_\_\_\_ drawing sheets  
☐ CPA transmittal ☐ Continuation transmittal  
☐ RCE transmittal ☐ Divisional transmittal  
☐ Certificate of Express Mail Label No. Supplemental  
☒ Declaration and Power of Attorney 1.13P  
☐ Assignment w/Cover Sheet  
☐ Change of Correspondence Address  
☒ Response to Notice to File Missing Parts + copy JUL 28 2004  
☒ Citation Under 37 CFR § 1.97 (IDS)  
☐ Form PTO-1449 + copies of cited references  
☐ Response to Restriction Requirement  
☐ Response to Election Requirement  
☐ Amendment Transmittal Form  
☒ Amendment (Official Action of 5-4-04)  
☐ Request for Extension of Time (\_\_\_\_\_ mos.)  
☐ Notice of Appeal  
☐ Appellant's Appeal Brief (x3) - \$320 large entity  
☐ Status Request  
☐ Transmittal of Formal Drawings (\_\_\_\_\_ sheets)  
☐ Letter to Official Draftsman  
☐ Part B-Issue Fee Transmittal  
☐ Publication Fee Transmittal  
☐ PCT Request (\_\_\_\_\_ pp.) + Application (\_\_\_\_\_ pp.)  
☐ National Phase Transmittal Letter  
☐ Response to PCT Invitation to Correct Defects  
☐ Ch. II Demand ☐ Power of Attorney  
☐ Revocation of Prior Powers of Attorney and POA  
☐ Petition for \_\_\_\_\_ + \$130 Fee  
☐ Terminal Disclaimer  
☐ Request for Correction to Filing Receipt  
☐ Request for Correction to Assignment and/or cover  
☐ Transmittal of Certified Copy of Priority Document  
☐ Preliminary Amendment  
☐ Replacement Drawings (\_\_\_\_\_ sheets)  
☐ Request Certificate of Correction + PTO 1050  
☐ Substitute specification (w/marked up version)  
☐ Other \_\_\_\_\_  
DATE 7/26/04 FILE NO. 51045 Harris ATTORNEY RKW